# Arts Council of Wales

# Complaints Procedure

November 2024







Arts Council of Wales is committed to making information available in large print, braille, audio, Easy Read and British Sign Language and will endeavour to provide information in languages other than Welsh or English on request.

Arts Council of Wales operates an equal opportunities policy.

# Contents

Introduction	4
What can I complain about?	
What you cannot complain about	
How do I make a complaint?	
When will I hear from you?	
Other ways to make a complaint	
Your personal information	
Equal opportunities	
Comments and suggestions	
Getting in touch	
Welsh Language Commissioner	
The Information Commissioner	
The Public Services Ombudsman for Wales	11

#### Introduction

The procedures in this section provide for the Arts Council of Wales (the Arts Council) to respond appropriately to complaints. All the lottery distributors in the UK use this procedure. These provisions apply to our employees, members of our Council, our Committees and Arts Associates, and to all aspects of our work, not just lottery funding.

Making a complaint will not affect in any way the level of service you receive from us. For example, if your complaint is about an application for funding, this will not affect your chances of getting a grant from us in the future.

## What can I complain about?

You can complain if you think that:

- 'maladministration' has taken place (for example, if we have delayed, made mistakes in or failed to follow the procedures in our application process);
- we have failed to give you access to information or have given you incorrect advice or information;
- we have not treated you politely; or
- we have discriminated against you or not treated you fairly.

If your complaint is about an application for funding, we can only look at your application again if:

- we discover (through dealing with a complaint) that we did not follow the published procedures for assessing your application;
- you can show that we have misunderstood a significant part of your application; or
- you can show that we did not take notice of relevant information.

If you need any help, you can contact your local citizens advice bureau (their contact details may be found in telephone directories or at <a href="https://www.citizensadvice.org.uk">www.citizensadvice.org.uk</a>).

We will keep all complaints confidential. If you make a complaint, we will treat you with respect, and we expect you to treat our staff in the same way.

## What you cannot complain about

You may be disappointed if we turn down your application for funding, but you cannot use the complaints procedure to appeal against our decision on giving a grant if we have followed our decision-making process correctly.

If you wish to complain about someone or something that we may have funded please see our website for further details on how to do this.

You cannot complain about our published policies or any government policy.

If you have any comments about our policies, please send these to our Chief Executive.

Do not use this complaints procedure to make a complaint about any fraud you think has taken place. You should report this in writing to our Chief Executive or Chair of Audit Committee. Please see <u>Getting in Touch</u> at the end of this document.

## How do I make a complaint?

#### Stage one

If you are not happy with the service you have received, contact the department or member of staff you first dealt with, within 3 months of the action or decision to which the complaint refers. They will try to put things right. You may not be sure what information you should include or how best to set out your complaint. You should set out the facts as clearly as possible, in a logical order and include the following information:

- Briefly, what the complaint is about
- When it happened
- Who originally dealt with the matter
- What you would like to happen to remedy the situation.

Remember to include important details and dates where possible. We can give you information about how we will process your complaint. We hope that we can settle complaints as quickly as possible in this way. Our contact details are given in the 'Getting in touch' section at the end of this document.

#### Stage two

If you are not satisfied with the response you receive, you can take this further by contacting our Chief Executive at <a href="mailto:Corporate@arts.wales">Corporate@arts.wales</a>

#### Please tell us:

- what happened;
- when it happened;
- who dealt with you; and
- what you would like us to do to put things right.

Where the complaint regards an application for funding and falls within the guidelines outlined above, copies of the following information are also required:

- The original application for funding to us
- Any documents or other material that was enclosed with the application
- The decision letter received from us
- Any other letters or documentation sent by either party in relation to the application

Also tell us if there is anything we need to know about how to contact you.

You must do this within four weeks of receiving our response to stage one.

If you cannot make your complaint in writing, please telephone us on 03301 242733

# When will I hear from you?

Within three working days of receiving your complaint we will write to or phone you to say that we have received it. We will also tell you how you can contact the person who is dealing with your complaint and when you can expect a reply.

You will receive a reply to your complaint within 10 working days. If we cannot give a full reply in this time, we will tell you why and when you are likely to receive it.

We may ask you to come to a meeting with us to discuss your complaint in more detail. This meeting would normally be held within 10 working days of us receiving your complaint. We would send you a written record of the meeting and a formal reply to your complaint from our Chief Executive. The complaint and outcome will be logged.

If your complaint is about the way we conduct any other aspect of our business, we will let you know about alternatives that may be open to you.

## Other ways to make a complaint

#### The Public Services Ombudsman for Wales

The Public Services Ombudsman for Wales investigates complaints by members of the public who have suffered injustice because of maladministration by public organisations.

By law, the Ombudsman is independent of the Government and the civil service and has wide powers to investigate.

The Ombudsman does not normally investigate complaints if they have not been through our complaints' procedures first.

The Ombudsman's services are free.

You can get an explanatory leaflet about the Ombudsman – see 'Getting in touch' at the end of this document.

#### Judicial review

A judicial review is where a judge examines your complaint to see whether we have behaved illegally. The judge cannot rule that we must change a funding decision, but can ask us to reconsider our decision.

### Welsh Language Complaints

Complaints regarding Welsh language issues will be dealt with in the first instance by our Welsh Language, Culture and Equalities Committee.

- Complaints should be addressed in writing to the Chair and should be marked 'confidential'.
- We will write to you within 3 working days of receipt, acknowledging your complaint and informing you that it will be considered at the next meeting of the Committee. The meeting will wherever possible, be held within 10 working days from receipt of the complaint. If this is not practicable, we will inform you of the reason why and a date given.
- At the meeting, the Committee will decide if the complaint is to be upheld, and if any action is to be taken. The chair of the Committee will inform you of the decision in writing.
- The complaint and outcome will be recorded and logged by the Committee to ensure continuity if the process is taken further.
- If you are dissatisfied with the outcome, you can request that the matter be considered by our Executive Leadership Team (ELT).

- If the complainant remains dissatisfied with the outcome after ELT"s review, they have the right to approach either the Welsh Language Commissioner or Public Service Ombudsman for Wales as outlined above.
- The outcome of the Independent Review will be logged by the Committee to ensure consistency, should it be taken further (see Judicial Review).

#### Freedom of information

Our publication scheme gives details of what information we make available to the public as a matter of course.

If you want information that we do not include in our publication scheme, the Freedom of Information Act 2000 gives you the right to ask us for it. Under the Act, we must provide you with the information you ask for unless it is not covered by the Act (that is, it is 'exempt'). If the information you want is exempt, we have to tell you why.

If you do not agree with us, you should follow stages one and two of the procedures set out in this document. If you are still not satisfied, you may ask the Information Commissioner to review our decision.

You can get a copy of our publication scheme by visiting <u>www.arts.wales</u> or by telephoning 03301 242733.

To contact the Information Commissioner, see 'Getting in touch' at the end of this document.

# Your personal information

If you use our complaints procedure, you are agreeing that we can use any personal information you send us for purposes connected with your complaint. We may also give your personal information to other people and organisations if we have to do so by law or if you have given us permission.

# **Equal opportunities**

We are committed to equal opportunities and take complaints about discrimination seriously.

We may use complaints about discrimination to review our policies and procedures. This is to make sure we treat everyone equally.

We may record information about the ethnic background, sex and disability of everyone who makes a complaint so that we promote and maintain our equal opportunities commitment. We will keep all information confidential.

## Comments and suggestions

We welcome comments and suggestions as these can help us improve our services.

# Getting in touch

You can contact our Chief Executive at:

Arts Council of Wales Bute Place Cardiff CF10 5AL

Website: www.arts.wales

Phone: 03301 242733

Email: Corporate@arts.wales

You can get the addresses of all our offices from our website, or by telephoning 03301242733. You can also make general enquiries at that number.

For complaints regarding Welsh language issues, you may contact:

## Welsh Language Commissioner

Market Chambers 5-7 St Mary Street Cardiff CF10 1AT

Phone: 0345 6033 221

Email: post@welshlanguagecommissioner.wales

To get information about the Freedom of Information Act you can contact:

#### The Information Commissioner

Wycliffe House Water Lane Wilmslow Cheshire SK9 5AF

Phone: 0303 123 1113

https://ico.org.uk/make-a-complaint/

You can get an explanatory leaflet about the Ombudsman from:

# The Public Services Ombudsman for Wales

1 Ffordd yr Hen Gae, Pencoed, CF35 5LJ

Phone: 0300 790 0203

Email: <u>ask@ombudsman.wales</u>